

| | | |
|--|--|---------------------------------|
| FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. LPL-0008 P3 | SERIAL NO. 09/592,086 |
| | APPLICANT: Richard Marc Libman | |
| | FILING DATE: June 12, 2000 | GROUP: 3688 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|------|-----------------|------------|------------|-------|-----------|-------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
| | + | 2005/0154658 | 7/14/2005 | Bove | ----- | ----- | ----- |
| | + | 2002/0026351 | 2/28/2002 | Coleman | ----- | ----- | ----- |
| | +@ | 2002/0026349 | 02/28/2002 | Reilly | ----- | ----- | ----- |
| | + * | 5,388,165 | 2/7/1995 | Deaton | ----- | ----- | ----- |
| | + * | 5,523,942 | 6/4/1996 | Tyler | ----- | ----- | ----- |
| | + | 5,550,746 | 8/27/1996 | Jacobs | ----- | ----- | ----- |
| | + * | 5,576,951 | 11/19/1996 | Lockwood | ----- | ----- | ----- |
| | + * | 5,655,085 | 8/5/1997 | Ryan | ----- | ----- | ----- |
| | + | 5,687,322 | 11/11/1997 | Deaton | ----- | ----- | ----- |
| | + | 5,845,302 | 12/01/1998 | Cyman | ----- | ----- | ----- |
| | + | 5,857,175 | 1/5/1999 | Day | ----- | ----- | ----- |
| | + * | 5,866,889 | 2/2/1999 | Weiss | ----- | ----- | ----- |
| | + | 5,895,468 | 4/20/1999 | Whitmyer | ----- | ----- | ----- |
| | + | 5,913,202 | 6/15/1999 | Motoyama | ----- | ----- | ----- |
| | + | 5,926,800 | 7/20/1999 | Baronowski | ----- | ----- | ----- |
| | + | 5,933,811 | 8/3/1999 | Angles | ----- | ----- | ----- |
| | +@ * | 5,966,695 | 10/12/1999 | Melchione | ----- | ----- | ----- |
| | + * | 5,974,396 | 10/26/1999 | Anderson | ----- | ----- | ----- |
| | + | 6,021,397 | 2/1/2000 | Jones | ----- | ----- | ----- |
| | + * | 6,029,153 | 2/22/2000 | Bauchner | ----- | ----- | ----- |
| | + | 6,064,969 | 5/16/2000 | Haskins | ----- | ----- | ----- |

| | |
|--|-------------------------|
| EXAMINER: | DATE CONSIDERED: |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant | |

| | | |
|--|--|---------------------------------|
| FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. LPL-0008 P3 | SERIAL NO. 09/592,086 |
| | APPLICANT: Richard Marc Libman | |
| | FILING DATE: June 12, 2000 | GROUP: 3688 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----|-----------------|------------|----------|-------|-----------|-------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
| | + | 6,134,532 | 10/17/2000 | Lazarus | ----- | ----- | ----- |
| | + | 6,138,139 | 10/24/2000 | Beck | ----- | ----- | ----- |
| | + * | 6,236,975 | 5/22/2001 | Boe | ----- | ----- | ----- |
| | + | 6,330,543 | 12/11/2001 | Kepecs | ----- | ----- | ----- |
| | + | 6,332,154 | 12/18/2001 | Beck | ----- | ----- | ----- |
| | + * | 6,349,290 | 2/19/2002 | Horowitz | ----- | ----- | ----- |

| FOREIGN PATENT DOCUMENTS | | | | | | | | | |
|--------------------------|---|-----------------|-----------|---------|-----------|-------|-----------|-----------------|----|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | INVENTOR | CLASS | SUB CLASS | TRANSLATION YES | NO |
| | + | WO 99/33012 | 7/1/1999 | PCT | St. Denis | ----- | ----- | YES | |
| | + | WO 98/02835 | 1/22/1998 | PCT | Post | ----- | ----- | YES | |
| | | | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | |
|--|----|-----------------------|--|-------------------|
| EXAMINER'S INITIAL | | AUTHOR | DOCUMENT | PUBLICATION/ DATE |
| | 1. | WebMaster Magazine+ * | World Wide Winners | Aug-97 |
| | 2. | Burch+ * | Companies Turn to Internet Server Management Firms | 9/26/1994 |
| | 3. | Business Wire+ * | Quicken Insure Market to Debut with Major Carriers as Partners | 6/11/1996 |
| | 4. | Business Wire+ * | PC Quote Partners in World's First Virtual Conference | 4/22/1996 |
| | 5. | Doucette+ * | Aetna's Newest Location--On the Internet | Nov-95 |

| | |
|--|-------------------------|
| EXAMINER: | DATE CONSIDERED: |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant | |

| | | |
|---|--|---------------------------------|
| FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. LPL-0008 P3 | SERIAL NO. 09/592,086 |
| | APPLICANT: Richard Marc Libman | |
| | FILING DATE: June 12, 2000 | GROUP: 3688 |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | |
|--|-----|--|--|-------------------|
| EXAMINER'S INITIAL | | AUTHOR | DOCUMENT | PUBLICATION/ DATE |
| | 6. | Strazewski+* | Pioneers on the Web Frontier | May-96 |
| | 7. | Ellsworth+* | Staking a Claim on the Internet | Jan-96 |
| | 8. | Holtman+@* | The New Age of Financial Services: A Marketing Hands-On Applications Guide to Harnessing the Power of Database Marketing [divided into 3 parts: 89A, 89B, 89C] | 1992 |
| | 9. | Nash+@* | Database Marketing [divided into 3 parts: 90A, 90B, 90C] | 1993 |
| | 10. | Foley+@* | Ready, Aim, Sell | Feb-97 |
| | 11. | Morrall+@* | Customize Your Cross-Sell | Mar-93 |
| | 12. | Berry+@* | Database Marketing: A Potent New Tool for Selling | 9/5/1994 |
| | 13. | Stephen A. Cone+ | How KeyCorp Competes with Breakthrough Marketing | Summer 1996 |
| | 14. | Kirsten Bell DeTienne; Jeffrey A. Thompson"+ | Database Marketing and Organizational Learning Theory: Toward a Research Agenda | 1996 |
| | 15. | Beverly Cramp+ | Reading Your Mind | 2/22/1996 |
| | 16. | John J. Harrison+ | Going Direct Adding Database Marketing to the Mix | May-96 |
| | 17. | John J. Harrison+ | Using a Database Marketing Strategy to Successfully Market Manage Care | May-96 |
| | 18. | Rob Yoegel+ | Financial Services Database Marketing | May-96 |
| | 19. | Joseph Radigan+ | Marketing, Mergers and Megabits | Mar-96 |
| | 20. | Richard Schroeder+ | The Prefabricated Financial Plan Can Be Good, Cheap Alternative to Traditional Custom Plans | 11/7/1995 |

| | |
|--|-------------------------|
| EXAMINER: | DATE CONSIDERED: |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant | |

| | | |
|---|--|---------------------------------|
| FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. LPL-0008 P3 | SERIAL NO. 09/592,086 |
| | APPLICANT: Richard Marc Libman | |
| | FILING DATE: June 12, 2000 | GROUP: 3688 |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | |
|--|-----|---|--|-------------------|
| EXAMINER'S INITIAL | | AUTHOR | DOCUMENT | PUBLICATION/ DATE |
| | 21. | Takayuki Tachikawa; Makoto Takizawa+ | Communication Protocol for Group Distributed Objects | 1996 |
| | 22. | Andrew Orent+ | AT&T Helps Financial Institutions Harness the Power of Customer Knowledge | Winter 1995 |
| | 23. | Donald C. Mann+ | Database Marketing—How Its Changing Your Business | August 1990 |
| | 24. | Peter Child Robert J. Dennis Timothy D. Gokey Tim I. McGuire Mike Sherman Marc Singer+ | Can Marketing Regain the Personal Touch | 1995 |
| | 25. | Ken Graham+ | Data Base Marketing with a PC-Based MCIF | Summer 1992 |
| | 26. | Lillian Schapiro+ | How Guardian Got to Grips with Its Database | Feb-95 |
| | 27. | Chanda Ghose Dasgupta Sanjoy Ghose+ | Response Modeling: A Segmentation and Targeting Approach for the Life Insurance Industry | 1993 |
| | 28. | Liberty Life+ | Insurance Committee Meeting Minutes | 9/24/1970 |
| | 29. | Fred C. Malan+ | Marketing Options for New Borrowers | 2/19/1986 |
| | 30. | Liberty Life+ | Specialized Marketing Direct Mail Automation | 4/3/1992 |
| | 31. | Liberty Life+ | Liberty Life Direct Mail User Guide | 7/8/1992 |
| | 32. | Liberty Life+ | Direct Response Manual | 1994 |
| | 33. | Leo Hertzog, Jr. Bill Korn+ | Taking Control of Information Fulfillment | Feb-92 |
| | 34. | Clinton Wilder+ | Interactive Ads | 10/3/1994 |

| | |
|--|-------------------------|
| EXAMINER: | DATE CONSIDERED: |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant | |

| | | |
|---|--|---------------------------------|
| FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. LPL-0008 P3 | SERIAL NO. 09/592,086 |
| | APPLICANT: Richard Marc Libman | |
| | FILING DATE: June 12, 2000 | GROUP: 3688 |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | |
|--|-----|-----------------------------------|---|--------------------|
| EXAMINER'S INITIAL | | AUTHOR | DOCUMENT | PUBLICATION/ DATE |
| | 35. | Clinton Wilder | Interactive Ads--Online and Interactive Services are Providing Advertisers with an Entirely New and Convenient Way to Reach Affluent, Demographically Correct Customers | 10/3/1994 |
| | 36. | Quick Quote+ | QuickQuote Insurance Quote System and Related Publications | Jul-95 |
| | 37. | Unknown+ | Response Required Data Structure for Information Interchange in an Office Network | unknown |
| | 38. | Precision Marketing+ | Banking on the Changing Future | 5/29/1995 |
| | 39. | Katherine Morrall+ | Forging Bonds with the Mature Market | Sep-95 |
| | 40. | Elizabeth Daniele+ | Serendipity or Science | Mar-93 |
| | 41. | Ruth Kastrud+ | The Agent's Creativity Advantage | Sep-91 |
| | 42. | Hearst Communications Inc. + | ZONE--Getting Control of Your Cashflow--A Financial Planning Primer | 3/13/1985 |
| | 43. | T.J. Hughes+ | The Customer Database: Cross Selling Retail Financial Services | 1992 |
| | 44. | Seattle Times+ | Economy Computers Cranking Out Personal Financial Plans--But Some Say the Plans are Just Bolierplate | 11/2/1985 |
| | 45. | Unknown+ | Tradewise--A New Electronic Concept in Insurance | 5/1/1994 |
| | 46. | D.S. Fisher T.W. Tyler+ | Using Distributed OLTP Technology in a High Performance Storage System | Mar-95 |
| | 47. | Charles C. Ashley+ | Rearranging the Distribution System | 10/1/1991 |
| | 48. | Countrywide affiliated companies+ | Countrywide affiliated company systems | 11/14/96 4/1/93 |

| | |
|--|-------------------------|
| EXAMINER: | DATE CONSIDERED: |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant | |

| | | |
|--|--|---------------------------------|
| FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. LPL-0008 P3 | SERIAL NO. 09/592,086 |
| | APPLICANT: Richard Marc Libman | |
| | FILING DATE: June 12, 2000 | GROUP: 3688 |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | |
|--|-----|-----------------------------|---|-------------------|
| EXAMINER'S INITIAL | | AUTHOR | DOCUMENT | PUBLICATION/ DATE |
| | 49. | Interview of Richard Crone+ | Electronic Marketing: It's the Content That Counts | Jul-96 |
| | 50. | Joachim Angstenberger+ | Database Marketing, Response Analysis and Forecasting in Financial Engineering | 5/22/1997 |
| | 51. | Amy Dunkin+ | Unlocking the Mysteries of Term Life | 7/1/1996 |
| | 52. | Joseph B. Treaster+ | Internet Sales Offer an Insurance Alternative | 9/6/1996 |
| | 53. | Business Wire+ | QuickQuote Adds First Chicago NBD to Client Roster | 9/5/1996 |
| | 54. | Insweb Corporation+ | Insweb Corporation Online Quote System and Related Publications | 1997 |
| | 55. | Amy Rice and Julie Hsu | EZ Reader: Embedded AI for Automatic Electronic Mail Interpretation and Routing, <i>Proceedings of the Thirteenth National Conference on Artificial Intelligence and the Eighth Innovative Applications of Artificial Intelligence Conference</i> | 1996 |

| | |
|--|---------------------------------------|
| EXAMINER: /Raquel Alvarez/ | DATE CONSIDERED: 02/09/2010 |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant | |